

0120

Receipt



PAT. APPLN. SER. NO. 10/525,903
ATTY. DOCKET NO. 1716364

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)	Examiner:	Unknown
Junichiro Ichikawa et al.)	Group Art Unit:	2874
International Appln. No. PCT/JP2003/011012)	Confirmation No.:	9664
U.S. Serial No.: 10/525,903)		
Filing Date: February 25, 2005)		
For: OPTICAL MODULATOR)		

MAIL STOP MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

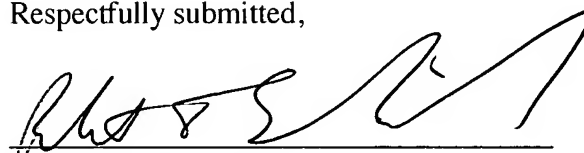
REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant requests that the Filing Receipt for the above patent application be corrected to indicate:

- (1) The correct city of residence of the applicants, "Tokyo"; and
- (2) The correct patent application number of the foreign priority application, "JAPAN 2002-254938 08/30/02."

The correct city of residence of the applicants and the correct patent application number of the foreign priority application were indicated on the Application Data Sheet filed with this application, a copy of which is attached. A copy of the filing receipt with the requested changes noted thereon is also attached.

Respectfully submitted,



Robert J. Schneider
Reg. No. 27,383

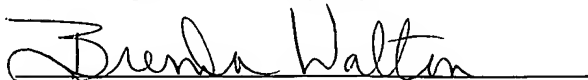
February 3, 2006
Chapman and Cutler LLP
111 West Monroe Street
Chicago, Illinois 60603
(312) 845-3919

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents on the date indicated below.

Brenda Walton

Name of person mailing paper



Signature

February 3, 2006

Date

RECEIPT ACKNOWLEDGED

JAN 31 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/525,903	09/06/2005	2874	1130	1716364	5	20	3

CONFIRMATION NO. 9664

Robert J Schneider
 Chapman and Cutler
 111 West Monroe Street
 16th Floor
 Chicago, IL 60603-4080

FILING RECEIPT



OC000000017912999

Date Mailed: 01/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Junichiro Ichikawa, ~~Chiyoda-ku~~, JAPAN; **Tokyo**
 Masayuki Ichioka, ~~Chiyoda-ku~~, JAPAN; **Tokyo**
 Tokutaka Hara, ~~Chiyoda-ku~~, JAPAN; **Tokyo**
 Yuji Yamane, ~~Chiyoda-ku~~, JAPAN; **Tokyo**

Power of Attorney:

Robert Schneider--27383

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11012 08/29/2003

Foreign Applications

JAPAN ~~2002-230368 08/07/2002~~**2002-254938 08/30/02**

Projected Publication Date: 05/04/2006

Non-Publication Request: No

Early Publication Request: No

Title

Optical modulator

Preliminary Class

385

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/525,903	Junichiro Ichikawa	1716364

INTERNATIONAL APPLICATION NO.

PCT/JP03/11012

I.A. FILING DATE	PRIORITY DATE
08/29/2003	08/07/2002

Robert J Schneider
 Chapman and Cutler
 111 West Monroe Street
 16th Floor
 Chicago, IL 60603-4080

CONFIRMATION NO. 9664

371 ACCEPTANCE LETTER



OC000000017913000

Date Mailed: 01/27/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>09/06/2005</u>	<u>09/06/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 02/25/2005
- Copy of the International Search Report filed on 02/25/2005
- Preliminary Amendments filed on 02/25/2005
- Oath or Declaration filed on 09/06/2005
- Copy of references cited in ISR filed on 02/25/2005
- U.S. Basic National Fees filed on 02/25/2005
- Priority Documents filed on 02/25/2005
- Power of Attorney filed on 09/06/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

Application Data Sheet

Application Information

Application Number::
Filing Date::
Application Type:: Regular
Subject Matter:: Utility
Title:: Optical Modulator
Attorney Docket Number:: 1716364
Request for Early Publication?: No
Request for Non-Publication?: No
Suggested Drawing Figure:: 2
Total Drawing Sheets:: 5
Small Entity:: No
Petition included?: No

Applicant Information

Applicant Authority type:: Inventor
Primary Citizenship Country:: Japan
Status:: Full Capacity
Given Name:: Junichiro
Middle Name::
Family Name:: ICHIKAWA
Name Suffix::
City of Residence:: Tokyo
State or Province of Residence::
Country of Residence:: Japan
Street of mailing address:: c/o Sumitomo Osaka Cement
Co., Ltd.
6-28, Rokubancho, Chiyoda-ku
City of mailing address:: Toyko (Tokyo)
State or Province of mailing address::
Country of mailing address:: Japan

Postal or Zip Code of mailing address:: 102-8465

Applicant Information

Applicant Authority type::	Inventor
Primary Citizenship Country::	Japan
Status::	Full Capacity
Given Name::	Masayuki
Middle Name::	
Family Name::	ICHIOKA
Name Suffix::	
City of Residence::	Tokyo
State or Province of Residence::	
Country of Residence::	Japan
Street of mailing address::	c/o Sumitomo Osaka Cement Co., Ltd. 6-28, Rokubancho, Chiyoda-ku
City of mailing address::	Toyko (Tokyo)
State or Province of mailing address::	
Country of mailing address::	Japan
Postal or Zip Code of mailing address::	102-8465

Applicant Information

Applicant Authority type::	Inventor
Primary Citizenship Country::	Japan
Status::	Full Capacity
Given Name::	Tokutaka
Middle Name::	
Family Name::	HARA
Name Suffix::	
City of Residence::	Tokyo
State or Province of Residence::	
Country of Residence::	Japan

Street of mailing address:: c/o Sumitomo Osaka Cement
Co., Ltd.
6-28, Rokubancho, Chiyoda-ku
City of mailing address:: Toyko (Tokyo)
State or Province of mailing address::
Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 102-8465

Applicant Information

Applicant Authority type:: Inventor
Primary Citizenship Country:: Japan
Status:: Full Capacity
Given Name:: Yuji
Middle Name::
Family Name:: YAMANE
Name Suffix::
City of Residence:: Tokyo
State or Province of Residence::
Country of Residence:: Japan
Street of mailing address:: c/o Sumitomo Osaka Cement
Co., Ltd.
6-28, Rokubancho, Chiyoda-ku
City of mailing address:: Toyko (Tokyo)
State or Province of mailing address::
Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 102-8465

Correspondence Information

Correspondence Customer Number:: 24240
Name:: Robert J. Schneider
Street of mailing address:: Chapman and Cutler LLP, 111
West Monroe Street, 16th
Floor
City of mailing address:: Chicago

State of mailing address:: Illinois
Postal or Zip Code of mailing address:: 60603-4080
Telephone:: 312/845-3919
Fax Number:: 312/803-5299

Representative Information

Representative Customer Number:: 24240

Domestic Priority Information

Application:: This Application
Continuity Type:: National Stage of
Parent Application:: PCT/JP2003/011012
Parent Filing Date:: 08/29/03

Foreign Priority Information

Country:: Japan
Application Number:: 2002-254938
Filing Date:: 08/30/02
Priority Claimed:: Yes

Assignee Name:: Sumitomo Osaka Cement Co.,
Ltd.
Street of mailing address:: 6-28, Rokubancho, Chiyoda-ku
City of mailing address:: Tokyo
State or Province of mailing address::
Country of mailing address:: Japan
Postal or Zip Code of mailing address:: 102-8465